

APPENDIX D

"Associated Firms" and "Umbrella Firms"

Name of Firm

Cassels Brock & Blackwell LLP

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APPENDIX D

"Associated Firms" and "Umbrella Firms"

Name of Firm

Davies Ward Phillips & Vineberg LLP

1	The Named Insured under the CLLAS policies prior to February 1, 2001 was Davies, Ward & Beck LLP.
2	On February 1, 2001, Davies, Ward & Beck LLP merged with Goodman Phillips & Vineberg of Montreal. Effective the same day, the Named Insured was amended to Davies Ward Phillips and Vineberg LLP. The firm has additional Named Insureds as follows:
	Davies Ward Phillips & Vineberg LLP (an Ontario partnership)
	Davies Ward Phillips & Vineberg S.E.N.C.R.L., s.r.l.
	Davies Ward Phillips & Vineberg s.r.l.
	Davies Ward Phillips & Vineberg LLP (a New York partnership)
	Davies Ward Phillips & Vineberg
	Davies, Ward & Beck LLP
	Davies Ward & Beck
	DWB Services Limited Partnership (Toronto Service Company)
	DWPV Services Limited Partnership (Toronto Service Company)
	DWB Management Limited (Toronto Service Company)
	DWPV Management Limited (Toronto Service Company)
	Davies Ward & Beck Foundation (Toronto based charitable foundation)
	Davies Ward Phillips & Vineberg Foundation (Toronto based charitable foundation)
	DWB Management Services Inc. (General partner of DWB Services Limited Partnership)
	DWPV Management Services Inc. (General partner of DWPV Services Limited Partnership)
	Goodman Phillips & Vineberg G.P.
	Goodman Phillips & Vineberg S.E.N.C.

APPENDIX D

"Associated Firms" and "Umbrella Firms"

Name of Firm

Fasken Martineau DuMoulin LLP

1	The Named Insured under the CLLAS policies is Fasken Martineau DuMoulin LLP. There is an endorsement to the Primary Policy listing a number of additional Named Insureds.
2	Fasken & Calvin and Campbell Godfrey & Lewtas merged on November 1, 1989 and practised under the name Fasken Campbell Godfrey.
3	Fasken Campbell Godfrey had entered into a partnership with Martineau Walker of Montreal and Davis and Company of Vancouver known as Fasken Martineau Davis. Davis and Company later withdrew and the partnership continued under the name of Fasken Martineau.
4	On September 1, 1999, Fasken Martineau ("Canadian Partnership") formed a US subsidiary partnership also called Fasken Martineau ("US Partnership") to carry out the practice of Canadian law from a New York office. The Firm commenced practising US law from both the Toronto and New York offices in 2004.
5	On February 1, 2000, Fasken Campbell Godfrey merged with Martineau Walker and Russell & DuMoulin of Vancouver and practises under the name of Fasken Martineau DuMoulin LLP.
6	After the merger, Fasken Martineau ("US Partnership") and Fasken Martineau ("Canadian Partnership") changed their names to Fasken Martineau DuMoulin LLP ("US Partnership") and Fasken Martineau DuMoulin LLP ("International Partnership") respectively. On February 1, 2007, Fasken Martineau DuMoulin LLP ("International Partnership") changed its name to Fasken Martineau DuMoulin International LLP.
7	The Firm now has offices in Toronto, Montreal, Ottawa, Quebec City, Vancouver and Calgary in Canada, London, England, and Johannesburg, South Africa. An office was opened in Yellowknife on November 4, 2002 and was closed on November 30, 2004. The New York office was closed on April 30, 2008 and Fasken Martineau DuMoulin LLP ("US Partnership") was subsequently dissolved.
8	The Johannesburg, South Africa office was established in 2003 by Fasken Martineau DuMoulin (Pty) Ltd., a subsidiary of Fasken Martineau DuMoulin International LLP, to practise both Canadian and U.K. law. The entity merged with Bell Dewar Inc. on February 1, 2013.
9	Under the supervision of the Johannesburg office, a subsidiary company, LexEmpower Legal Consulting (Pty) Ltd. (formerly Capstone 686 (Proprietary) Limited) was structured to qualify under the "Black Economic Empowerment" legislation and provide corporate and legal advisor services commencing on or about July 1, 2004 practising both Canadian and U.K. law. This corporation has now ceased active business. The firm no longer has control of this entity and we understand that it is now under liquidation.
10	Effective February 1, 2007, the name of Stringer Saul LLP, a UK limited liability partnership, was changed to Fasken Martineau Stringer Saul LLP, the partners resident in the Firm's London, UK office and 2 partners resident in Canada became members of Fasken Martineau Stringer Saul LLP and the equity members of Stringer Saul LLP became partners in Fasken Martineau DuMoulin LLP and in Fasken Martineau DuMoulin International LLP. The name of Fasken Martineau Stringer Saul LLP was changed to Fasken Martineau LLP on September 11, 2008.
11	Effective April 1, 2007, the firm opened an office in Ottawa, and the partners of Johnston & Buchan LLP joined the firm as partners of Fasken Martineau DuMoulin LLP.

12	On September 1, 2009, the Paris firm Gravel, Leclerc & Associates S.E.L.A.S., a professional services corporation established under French law, merged with the Firm. Gravel, Leclerc was acquired by Fasken Martineau LLP and continued its practice under the name Fasken Martineau S.E.L.A.S. Certain lawyers of Gravel, Leclerc also became partners in Fasken Martineau DuMoulin LLP and in Fasken Martineau DuMoulin International LLP. The Paris office was closed effective December 31, 2015.
13	There is an endorsement on the policy recognizing the partnerships, associations and arrangements identified in this Appendix D and those in the attached addendum.
14	Martineau Walker merged with Gagnon Lafleur & Associates in 1981 and Lazarovitz, Cannon, Lemelin, Rourke in 1983.
15	Russell & DuMoulin merged with Lyall McKercher Hanna in 1989.
16	On February 1, 2013, the South African firm of Bell Dewar Inc. (predeceased by Bell Dewar and Hall), a professional services firm established under South African law, merged with Fasken Martineau DuMoulin (Pty) Ltd. and continues its practice under the name Fasken Martineau. Certain lawyers of Bell Dewar Inc. also became partners in Fasken Martineau DuMoulin LLP and in Fasken Martineau DuMoulin International LLP.
	Coverage is provided to the predecessor firms namely, Fasken & Calvin; Campbell, Godfrey & Lewtas; Kitamura Green; Gagnon Lafleur & Associates; Lazarovitz, Cannon, Lemelin, Rourke; and Lyall McKercher Hanna. Coverage is also provided to the predecessor firms of Stringer Saul LLP namely, Day & Co. and PharmaLaw, to the predecessor firms of Johnston & Buchan LLP namely, Johnston & Buchan and Johnston, Buchan & Dalfen, to the predecessor firm of Gravel, Leclerc & Associates S.E.L.A.S., namely Gravel, Leclerc and Partners, and to the predecessor firm of Bell Dewar Inc. in South Africa, namely Bell Dewar and Hall.

APPENDIX D

"Associated Firms" and "Umbrella Firms"

Name of Firm

Goodmans LLP

1	The Named Insured under the CLLAS policies prior to January 1, 2001 was Goodman Phillips & Vineberg, formerly Goodman & Goodman, a partnership constituted under the laws of Ontario.
2	The Firm had changed its name from Goodman & Goodman to Goodman Phillips & Vineberg effective March 6, 1995 and practised under that name from its office in Toronto until January 1, 2001.
3	Phillips & Vineberg of Montreal also practised under the name of Goodman Phillips & Vineberg for the same period.
4	These two firms had entered into an arrangement with Freeman & Company of Vancouver in 1989 and formed an international partnership and national affiliation known as Goodman Freeman Phillips & Vineberg. In 1993, Freeman & Company dissolved and the partnership continued to operate under the name of Goodman Phillips & Vineberg.
5	Goodman Phillips & Vineberg (Ontario) and Goodman Phillips & Vineberg (Montreal) maintained offices in Vancouver, New York, Paris, Singapore, Hong Kong and Beijing. Vancouver and Hong Kong each have local partners. Exposures not covered by the Associated Firm Endorsement for Goodman Phillips & Vineberg (Ontario) were covered by the policies arranged for Goodman Phillips & Vineberg (Montreal), e.g. the New York office.
6	Effective January 1, 2001, the Named Insured was amended to Goodmans LLP, a partnership constituted under the laws of Ontario with (i) Goodmans, a partnership constituted under the laws of British Columbia, and (ii) Goodmans, a partnership registered under the laws of Hong Kong and their predecessor firms being added as Additional Named Insureds.
7	Effective January 1, 2001, Fong & Ng (former associated firm of the international partnership in Hong Kong) is being recognized as an associated firm of the umbrella firm Goodmans, a partnership registered under the laws of Hong Kong.
8	On February 1, 2001, Goodman Phillips & Vineberg in Montreal merged with Davies, Ward & Beck and operates under the name of Davies Ward Phillips & Vineberg LLP. The international partnership dissolved on the same day.
9	On May 10, 2001, Goodman Phillips & Vineberg LLP, upon receipt of permission from the Law Society of Hong Kong, changed its name to Goodmans LLP.
10	On December 31, 2005, Goodmans' Hong Kong office was closed.
11	The Firm currently has an office in Toronto. All the lawyers in the Vancouver office joined MacPherson Leslie & Tyerman LLP on January 1, 2015 and that office closed on the same date.
	There is an endorsement on the policy in respect of: a) the previous international partnerships and national affiliations; and b) Goodmans, a partnership registered under the laws of Hong Kong, to cover possible claims relating to the period during which they were in operation.

APPENDIX D

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Name of Firm

McMillan LLP

1	The Named Insureds under the CLLAS policies are McMillan LLP; McMillan S.E.N.C.R.L., s.r.l.; McMillan Binch Mendelsohn LLP; McMillan Binch LLP; Mendelsohn s.e.n.c.
2	McMillan Vantage Policy Group LP and Prefix Legal LLP are added as Additional Insureds.
3	The Firm has offices in Toronto, Ottawa, Vancouver, Calgary, Montreal and Hong Kong.
4	On May 1, 2005, McMillan Binch LLP merged with Mendelsohn s.e.n.c. to form McMillan Binch Mendelsohn LLP.
5	On May 28, 2008, McMillan Binch Mendelsohn LLP changed its registered business name to McMillan LLP and McMillan S.E.N.C.R.L., s.r.l. in Quebec.
6	On May 1, 2009, McMillan LLP completed a transaction with Thackray Burgess Professional Corporation of Calgary where the Firm employed certain former Thackray Burgess lawyers and certain other lawyers from that firm became partners at the Firm. While the two firms were not formally merging, for the purpose of insurance, CLLAS considers this as a merger and recognizes Thackray Burgess as a predecessor firm.
7	On January 1, 2011, McMillan LLP and Lang Michener LLP merged and operates under the name McMillan LLP.
8	McMillan Binch LLP had formed a national association with two other law firms, Bull, Housser & Tupper of Vancouver and Surrey and Byers Casgrain of Montreal. The national association brought the three firms together for the purpose of providing enhanced levels of service to clients in Canada.
9	McMillan Binch LLP also formed an international partnership with the same two law firms in 1990. This international partnership was known as McMillan Bull Casgrain and was formed for the purpose of practising Canadian law outside Canada.
10	In 1993, McMillan Bull Casgrain entered into an association with the Hong Kong firm of Robert W.H. Wang & Co. The association was later terminated.
11	The McMillan Bull Casgrain association ended on January 31, 2000.
12	There is an endorsement on the policy with respect to McMillan Bull Casgrain to cover possible claims relating to the period during which the partnership was in operation.
13	Coverage is provided to Thackray Burgess Professional Corporation and its predecessor firms, namely Blanie & Company and Evans Higa Burgess.
14	Coverage is also provided to Lang Michener LLP and its predecessor firms, namely Lang Michener; Lang Michener Lawrence & Shaw; Lang Michener Honeywell Wotherspoon; Lang Michener Lash Johnston; Smith, Shaver; Honeywell, Wotherspoon; Lawrence & Shaw; Lash, Johnston; Lang Michener Cranston Farquharson & Wright and Robertson Ward Suderman.

APPENDIX D
“ASSOCIATED FIRMS” AND “UMBRELLA FIRMS”

Name of Firm: McCarthy Tétrault LLP; McCarthy Tétrault Registered Foreign Lawyers and Solicitors; McCarthy Tétrault (New York) LLP; McCarthy Tétrault (US) LLP

- The Named Insureds under the CLLAS policies are McCarthy Tétrault LLP; McCarthy Tétrault Registered Foreign Lawyers and Solicitors, McCarthy Tétrault (New York) LLP and McCarthy Tétrault (US) LLP.
- McCarthy & McCarthy merged in 1989 with two Canadian firms, Black & Company of Calgary and Shrum, Liddle & Heberton of Vancouver, and the combined firm merged with Clarkson Tétrault of Montréal in 1990 and all offices practised under the name of McCarthy Tétrault. These mergers were accomplished by the partners of Black & Company, Shrum, Liddle & Heberton and Clarkson Tétrault respectively joining McCarthy & McCarthy and by McCarthy & McCarthy changing its name to McCarthy Tétrault.
- The Firm has Canadian offices in Toronto, Montréal, Québec City, Calgary and Vancouver. The Firm closed its Ottawa office in September, 2010.
- On January 1, 1999, McCarthy Tétrault established a partnership in London, England for the practice of English law. The partnership is known as McCarthy Tétrault, Registered Foreign Lawyers and Solicitors. This partnership practises English and Canadian law.
- In 2000, McCarthy Tétrault established an office in New York in the premises of the New York law firm Fried, Frank, Harris, Shriver & Jacobson LLP. In 2004, McCarthy Tétrault LLP established McCarthy Tétrault (New York) LLP to carry on the business of its New York office. Subsequently, the New York office was closed.
- Effective January 1, 2017, McCarthy Tétrault LLP acquired the business of Susan Wortzman Professional Corporation and Susan Wortzman became a partner of the firm.
- In 2017, McCarthy Tétrault LLP established a new operating entity, McCarthy Tétrault (US) LLP. It is located in New York, New York.
- Coverage is provided to the predecessor firms to McCarthy Tétrault, namely, McCarthy & McCarthy, Black & Company, Shrum, Liddle & Heberton, Clarkson Tétrault and Susan Wortzman Professional Corporation.
- In 2018, McCarthy Tétrault LLP, along with a partner of McCarthy Tétrault LLP, incorporated a new entity called 2638420 Ontario Ltd., doing business under the name of MT>Play ("MT>Play"). McCarthy Tétrault LLP is a 50% shareholder of MT>Play, and the McCarthy Tétrault LLP partner referred to above was a 50% shareholder. MT>Play provides services to the gaming industry. It purchases a separate professional liability insurance policy with a policy limit of \$1,000,000 per occurrence and in the aggregate annually. The current name of MT>Play is MT>Play Inc. (the name was changed from 2638420 Ontario Inc. on September 17, 2019). As of December 31, 2019 McCarthy Tétrault LLP is the sole shareholder. MT>Play is in the process of being wound down.

APPENDIX D

"Associated Firms" and "Umbrella Firms"

Name of Firm

Osler, Hoskin & Harcourt LLP

1	The Named Insured under the CLLAS policies is Osler, Hoskin & Harcourt LLP with Osler, Hoskin & Harcourt, a U.K. organized partnership with former offices in London (UK), Hong Kong and Singapore being added as an additional Named Insured
2	Osler, Hoskin & Harcourt merged with Herridge, Tolmie on February 1, 1986, MacDonald & Ferrier on July 1, 1986 and Rogers, Rogers, Moore on March 1, 1988.
3	The Firm has Canadian offices in Toronto, Ottawa, Montreal, Calgary, Vancouver and in New York City (through a separate State of New York organized partnership of the same name)
4	The Firm formed an international partnership on January 1, 1991, known as Osler Renault Ladner, with Ogilvy Renault of Montreal and Ladner Downs of Vancouver which provided legal services to both Canadian clients with interests in the United States, Europe and the Far East and clients based in such areas doing business in Canada.
5	Effective December 31, 1993, Ladner Downs withdrew and the partnership continued under the name of Osler Renault
6	Effective March 31, 1996, Ogilvy Renault ceased to be a partner of Osler Renault
7	Effective April 1, 1996, Osler Renault changed its name to Osler, Hoskin & Harcourt and the former offices of Osler Renault in London, Hong Kong and Singapore became offices of Osler, Hoskin & Harcourt, the U.K. organized partnership. The Singapore, Hong Kong and London offices were closed on December 31, 1996, June 30, 1998 and July 31, 1999 respectively.
8	There is an endorsement on the policy with respect to Osler Renault Ladner and Osler Renault to cover possible claims relating to the period during which the partnerships were in operation.
9	Coverage is also provided to the predecessor firms to Osler, Hoskin & Harcourt, namely, Herridge, Tolmie, MacDonald & Ferrier and Rogers, Rogers, Moore.

APPENDIX D

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Name of Firm

Torys LLP

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APPENDIX D

"Associated Firms" and "Umbrella Firms"

Name of Firm

WeirFoulds LLP

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